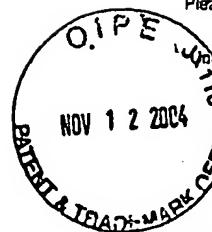


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of

5

### Complete If Known

Application Number	10/772,782
Filing Date	02/05/2004
First Named Inventor	Richard B. Streeter et al.
Group Art Unit	3736
Examiner Name	unknown
Attorney Docket Number	VIA-8 CON

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
VB	1	5,941,896		Kerr	08-24-1999	
	2	5,947,995		Samuels	09-07-1999	
	3	5,954,741		Fox	09-21-1999	
	4	5,954,745		Gertler et al.	09-21-1999	
	5	5,957,949		Leonhardt et al.	09-28-1999	
	6	5,976,172		Homsma et al.	11-02-1999	
	7	5,980,555		Barbut et al.	11-09-1999	
	8	5,989,281		Barbut et al.	11-23-1999	
	9	3,671,979		Moulopoulos	06-27-1972	
	10	3,996,938		Clark, III	12-14-1976	
	11	4,056,854		Boretos et al.	11-08-1977	
	12	4,425,908		Simon	01-17-1984	
	13	4,527,549		Gabbay	07-09-1985	
	14	4,902,272		Milder et al.	02-20-1990	
	15	5,108,419		Reger et al.	04-28-1992	
	16	5,160,342		Reger et al.	11-03-1992	
	17	5,163,953		Vince	11-17-1992	
V	18	5,254,097		Schock et al.	10-19-1993	
	19	5,300,086		Gory et al.	04-05-1994	
VB	20	5,324,304		Rasmussen	06-28-1994	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>				
VB	21	EP	0408245	American Medical...	01/16/1991		
V	22	WO	99/33414	Veseley	07/08/1999		
V	23	WO	99/15223	Cardeon Corp.	04/01/1999		
VB	24	WO	00/47139	Heartport, Inc.	08/17/2000		

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Date Considered

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Application Number</b>	10/772,782
				<b>Filing Date</b>	02/05/2004
				<b>First Named Inventor</b>	Richard B. Streeter et al.
				<b>Group Art Unit</b>	3736
				<b>Examiner Name</b>	unknown
<b>Sheet</b>	2	of	5	<b>Attorney Docket Number</b>	VIA-8 CON

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		Number	Kind Code <sup>2</sup> (if known)			
VB	25	5,329,942		Gunther et al.	07-19-1994	
	26	5,370,685		Stevens	12-06-1994	
	27	5,397,351		Pavcnik et al.	03-14-1995	
	28	5,411,552		Andersen et al.	05-02-1995	
	29	5,509,428		Dunlop	04/23/1996	
	30	5,545,214		Stevens	08/13/1996	
	31	5,549,626		Miller et al.	08/27/1996	
	32	5,554,185		Block et al.	09/10/1996	
	33	5,571,215		Sterman et al.	11/05/1996	
	34	5,584,879		Reimold et al.	12/17/1996	
	35	5,607,465		Camilli	03/04/1997	
	36	5,682,906		Sterman et al.	11/04/1997	
	37	5,702,368		Stevens et al.	12/30/1997	
	38	5,713,951		Garrison et al.	02/03/1998	
	39	5,797,960		Stevens et al.	08/25/1998	
	40	5,800,457		Gelbfish	09/01/1998	
	41	5,800,525		Bachinski et al.	09/01/1998	
V	42	5,814,097		Sterman et al.	09/29/1998	
VB	43	5,848,964		Samuels	12/15/1998	
VB	44	5,855,597		Jayaraman	01/05/1999	

## **FOREIGN PATENT DOCUMENTS**

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/772,782
				Filing Date	02/05/2004
				First Named Inventor	Richard B. Streeter et al.
				Group Art Unit	3736
				Examiner Name	unknown
Sheet	3	of	5	Attorney Docket Number	VIA-8 CON

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number			
VB	45	6,692,513	Streeter et al.	02-17-2004	
	46	5,876,367	Kaganov et al.	03-02-1999	
	47	5,893,869	Barnhart et al.	04-13-1999	
	48	5,718,725	Sterman et al.	02-17-1998	
	49	5,910,154	Tsugita et al.	06-08-1999	
	50	5,911,734	Tsugita et al.	06-15-1999	
	51	5,925,063	Khosravi	07-20-1999	
	52	5,928,261	Ruiz	07-27-1999	
	53	5,935,139	Bates	08-10-1999	
	54	5,549,665	Vesely et al.	08-27-1996	
	55	5,728,153	Menkis et al.	03-17-1998	
	56	5,840,081	Andersen et al.	11-24-1998	
	57	6,371,970	Khosravi et al.	04-16-2002	
	58	6,309,399	Barbut et al.	10-30-2001	
	59	6,235,045	Barbut et al.	05-22-2001	
	60	6,334,869	Leonhardt et al.	01-01-2002	
	61	6,395,014	Macoviak et al.	05-28-2002	
	62	5,827,237	Macoviak et al.	10-27-1998	
	63	5,827,324	Cassell et al.	10-27-1998	
VB	64	6,375,670	Greenhalgh, E.Skott	04-23-2002	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<b>Complete If Known</b>	
<b>Sheet</b>	4	of	5	<b>Application Number</b>	10/772,782
				<b>Filing Date</b>	02/05/2004
				<b>First Named Inventor</b>	Richard B. Streeter et al.
				<b>Group Art Unit</b>	3736
				<b>Examiner Name</b>	unknown
				<b>Attorney Docket Number</b>	VIA-8 CON

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/772, 782
(use as many sheets as necessary)				Filing Date	02/05/2004
Sheet	5	of	5	First Named Inventor	Richard B. Streeter et al.
				Group Art Unit	3736
				Examiner Name	unknown
				Attorney Docket Number	VIA-8 CON

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
VB	69	Antonatos PG, et al., Effect of the positioning of a balloon valve in the aorta on coronary flow during aortic regurgitation, J Thorac Cardiovasc Surg; 1984 Jul; 88(1): 128-33			T <sup>2</sup>
	70	Antonatos PG, et al., Intraventricular Pumping At The Mitral Ring In Mitral Regurgitation; Life Support Syst; 1985; 3 Suppl 1:167-71			
	71	Antonatos PG, et al., The Use Of A Small Intra-Aortic Balloon To Increase Coronary Flow; Life Support Syst; 1983 Jul-Sep 1(3): 151-64			
	72	Frederiksen J, et al. Use Of A Counterpulsation Balloon As A Substitute For The Pulmonic Valve: A New Application; Ann Thorac Surg; 1986 Jun; 41(6): 616-21			
	73	Matsubara T, et al., Balloon Catheter With Check Valves For Experimental Relief Of Acute Aortic Regurgitation; Am Heart J; 1992 Oct; 124(4): 1002-8			
	74	Moulopoulos SD, et al., Intra-Aortic Balloon Pump For Relief Of Aortic Regurgitation. Experimental Study, J Thorac Cardiovasc Surg; 1980 Jul; 80(1):38-44			
↓	75	Siwek LG, et al., Acute Control Of Pulmonary Regurgitation With A Balloon "Valve". An experimental investigation, J Thorac Cardiovasc Surg; 1985 Sep; 90(3):404-9			
VB	76	Cartwright RS, et al., Combined Replacement Of Aortic And Mitral Valves; J.A.M.A., April 7, 1962;86-90.			

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